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Docket No.: 01527/100L635-US1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Arthur Ashman

Application No.: 10/789,442

Filed: February 26, 2004

For: **CROSSLINKABLE POLYMERIC
MATERIALS AND THEIR APPLICATIONS**

Confirmation No.: 5006

Art Unit: To Be Assigned

Examiner: To Be Assigned

PETITION TO CORRECT INVENTORSHIP PURSUANT TO 37 C.F.R. § 1.48(a)

MS ~~AF~~
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This is a petition pursuant to 37 C.F.R. §1.48(a) to correct inventorship of the above-identified patent application. As originally filed, the above-identified application names Arthur Ashman as the sole inventor. The present petition seeks to add V. Prasad Shastri as an inventor.

This petition is supported by the accompanying:

- (a) Declaration of V. Prasad Shastri, in which the declarant avers that the error in inventorship in the above-identified application occurred through error and without deceptive intention on his part (Exhibit A);

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(b) Declaration and Power of Attorney executed by all the inventors (Arthur Ashman and V. Prasad Shastri) (Exhibit B); and

(c) Consent of Assignee A Enterprises, Inc. (Exhibit C).

(d) Assignment from Prasad Shastri to A Enterprises, Inc. with Recordation Form Cover Sheet as facsimile transmitted November 15, 2005 (Exhibit D).

An assignment from Arthur Ashman to A Enterprises, Inc. was recorded at Reel 014634, Frame 0020 and again under Reel 014634 and Frame 0073.

The required petition fee of \$130.00 as specified in 37 C.F.R. §1.17(i) is enclosed.

In consideration of the foregoing it is respectfully requested that the Patent and Trademark Office amend the inventorship of this application by adding the name of V. Prasad Shastri as a co-inventor.

Dated: November 15, 2005

Respectfully submitted,

By 

Lydia G. Olson

Registration No.: 48,487

DARBY & DARBY P.C.

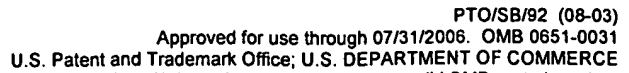
P.O. Box 5257

New York, New York 10150-5257

(212) 527-7700

(212) 527-7701 (Fax)

Attorneys/Agents For Applicant



~~Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.~~

Application No. (if known): 10/789,442


Attorney Docket No.: 01527/100L635-US1

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Airbill, No. _____ in an envelope addressed to:

EV 682124489-WS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on November 15, 2005
Date


Signature

Lillian Garcia
Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Petition to Correct Inventorship Pursuant to 37 CFR 1.48(a) (2 pages)
& Exhibits A - D
Check # 10325, \$130.00
Return Receipt Postcard



Docket No.: 01527/100L635-US1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Arthur Ashman

Application No.: 10/789,442

Confirmation No.: 5006

Filed: February 26, 2004

Art Unit: N/A

For: **CROSSLINKABLE POLYMERIC
MATERIALS AND THEIR APPLICATIONS**

Examiner: Not Yet Assigned

**DECLARATION OF V. PRASID SHASTRI IN SUPPORT OF
PETITION TO CORRECT INVENTORSHIP UNDER 37 C.F.R. § 1.48 (a)**

Hon. Commissioner of
Patents and Trademarks
Alexandria, VA 22313


Sir:

I, V. Prasad Shastri of 239 Windsor Terrace Drive, Nashville, TN 37221 declare and state that:

1. The accompanying Petition to Correct Inventorship seeks to add me as an inventor.
2. The error in inventorship in the above-identified application occurred without deceptive intention on my part.

It is further declared that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that the statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: Oct 27/2005



V. Prasad Shastri



Attorney Docket No.: 01527/100L635-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is described and claimed and for which a patent is sought on the invention entitled:

CROSSLINKABLE POLYMERIC MATERIALS AND THEIR APPLICATIONS

the specification of which was filed on February 26, 2004 as Application No. 10/789,442.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to herein. I do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to this application, or in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigned more than twelve months prior to this application.

I acknowledge the duty to disclose all information known to me that is material to patentability in accordance with Title 37, Code of Federal Regulations, § 1.56.

FOREIGN PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

☒ no such foreign applications have been filed

☐ such foreign application have been filed as follows:

**EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

Application Number	Country	Date of Filing	Priority Claimed Under 35 USC 119
			___ Yes No ___
			___ Yes No ___
			___ Yes No ___

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

Application Number	Country	Date of Filing

CLAIM FOR BENEFIT OF EARLIER U.S. PROVISIONAL APPLICATIONS

I hereby claim priority benefits under Title 35, United States Code §119(e), of any United States provisional patent application(s) listed below:

- ☐ no such U.S. provisional applications have been filed.
- ☒ such U.S. provisional application have been filed as follows:

Application Number	Date of Filing	Priority Claimed Under 35 USC 119
60/450,538	February 27, 2003	<u> x </u> Yes No ___
		___ Yes No ___
		___ Yes No ___

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)

I hereby claim the benefit under Title 35, United States Code, §120 of the United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all

information that is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56 which became available to me between the filing date of the prior application and the national or PCT international filing date of this application:

☒ no such U.S./PCT applications have been filed.

☐ such U.S./PCT application have been filed as follows:

Application Number	Date of Filing	Status (Patented/Pending/Abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the practitioners under Customer Number

07278

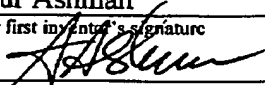
jointly, and each of them severally, my attorneys at law/patent agent(s), with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the U. S. Patent and Trademark Office connected therewith.

Please mail all correspondence to Melvin C. Garner, whose address is:

Darby & Darby P.C.
P.O. Box 5257
New York, New York 10150-5257

Please direct telephone calls to: Melvin C. Garner at (212) 527-7717.

Please direct facsimiles to: (212) 753-6237

Full name of sole or first inventor Arthur Ashman	
Sole or first inventor's signature 	Date 9/21/05
Residence Westport, Connecticut	
Citizenship US	
Mailing Address 153 BAYBERRY LANE 100 Post Rd West Westport, Connecticut 06880	

Full name of second inventor, if any V. Prasad Shastri	
Second inventor's signature	Date
Residence Nashville, Tennessee	
Citizenship	
Mailing Address 239 Windsor Terrace Drive Nashville, Tennessee 37221	

Full name of third inventor, if any	
Third inventor's signature	Date
Residence	
Citizenship	
Mailing Address	

Full name of fourth inventor, if any	
Fourth inventor's signature	Date
Residence	
Citizenship	
Mailing Address	

Attorney Docket No.: 01527/100L635-US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is described and claimed and for which a patent is sought on the invention entitled:

CROSSLINKABLE POLYMERIC MATERIALS AND THEIR APPLICATIONS

the specification of which was filed on February 26, 2004 as Application No. 10/789,442.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to herein. I do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to this application, or in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigned more than twelve months prior to this application.

I acknowledge the duty to disclose all information known to me that is material to patentability in accordance with Title 37, Code of Federal Regulations, § 1.56.

FOREIGN PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

☒ no such foreign applications have been filed

☐ such foreign application have been filed as follows:

Attorney Docket No.: 01527/100L635-US1

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(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

Application Number	Country	Date of Filing	Priority Claimed Under 35 USC 119
			___ Yes No ___
			___ Yes No ___
			___ Yes No ___

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(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

Application Number	Country	Date of Filing

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☐ no such U.S. provisional applications have been filed.

☒ such U.S. provisional application have been filed as follows:

Application Number	Date of Filing	Priority Claimed Under 35 USC 119
60/450,538	February 27, 2003	<u> x </u> Yes No ___
		___ Yes No ___
		___ Yes No ___

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)

I hereby claim the benefit under Title 35, United States Code, §120 of the United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all

Attorney Docket No.: 01527/1001.635-US1

information that is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56 which became available to me between the filing date of the prior application and the national or PCT international filing date of this application:

☒ no such U.S./PCT applications have been filed.

☐ such U.S./PCT application have been filed as follows:

Application Number	Date of Filing	Status (Patented/Pending/Abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the practitioners under Customer Number

07278

jointly, and each of them severally, my attorneys at law/patent agent(s), with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the U. S. Patent and Trademark Office connected therewith.

Please mail all correspondence to Melvin C. Garner, whose address is:

Darby & Darby P.C.
P.O. Box 5257
New York, New York 10150-5257

Please direct telephone calls to: Melvin C. Garner at (212) 527-7717.

Please direct facsimiles to: (212) 753-6237

Attorney Docket No.: 01527/100L635-US1

Full name of sole or first inventor Arthur Ashman	
Sole or first inventor's signature	Date
Residence Westport, Connecticut	
Citizenship US	
Mailing Address 191 Post Rd. West Westport, Connecticut 06880	

Full name of second inventor, if any V. Prasad Shastri	
Second inventor's signature	Date 10/07/2005
Residence Nashville, Tennessee	
Citizenship	
Mailing Address 239 Windsor Terrace Drive Nashville, Tennessee 37221	

Full name of third inventor, if any	
Third inventor's signature	Date
Residence	
Citizenship	
Mailing Address	

Full name of fourth inventor, if any	
Fourth inventor's signature	Date
Residence	
Citizenship	
Mailing Address	



Docket No.: 01527/100L635-US1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Arthur Ashman

Application No.: 10/789,442

Filed: February 26, 2004

For: **CROSSLINKABLE POLYMERIC
MATERIALS AND THEIR APPLICATIONS**

Confirmation No.: 5006

Art Unit: N/A

Examiner: Not Yet Assigned

**CONSENT OF ASSIGNEE IN SUPPORT
OF PETITION PURSUANT TO 37 C.F.R. 1.48(A)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I, Arthur Ashman, hereby declare and state as follows:

I am the Chief Executive Officer of A Enterprises, Inc., 191 Post Rd. West, Westport, CT 06880, the assignee of this application. I am an official empowered to act on behalf of A Enterprises, Inc., and make these statements in that capacity.

{W:\01527\100L635US1\00529102.DOC *01527100L635US1* }\0001970us0\

A Enterprises, Inc., is the assignee of all right, title and interest in and to this application by virtue of an assignment from the original named inventor, Arthur Ashman. That assignment is recorded in the U.S. Patent and Trademark Office at Reel 014634, Frames 0073 and 0020.

I understand that a Petition is being filed in the U.S. Patent and Trademark Office to amend the inventorship of this application. I understand, in particular, that the Petition seeks to add the name of V. Prasad Shastri as co-inventor of this application. V. Prasad Shastri is assigning all his rights, titles and interests in and to this application to A Enterprises, Inc.

I hereby give written consent, on behalf of the assignee A Enterprises, Inc., for this change in the inventorship set forth for the application.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of this application or any patent issuing thereon.

Respectfully submitted,

Dated: _____

9/21/05



Arthur Ashman
A Enterprises, Inc.
191 Post Rd. West
Westport, CT 06880
Tel: 203 221 2701
Fax: 203 221 2734

*** TX REPORT ***

TRANSMISSION OK

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C. Jones

FAX TRANSMISSION

DATE: November 15, 2005

PTO IDENTIFIER: Application Number 10/789,442
Patent Number

Inventor: Arthur Ashman and V. Prasad Shastri

MESSAGE TO: USPTO PTAS System

FAX NUMBER: (571) 273-0140

FROM: DARBY & DARBY P.C.

Lydia Gayle Olson

PHONE: (212) 527-7700

Attorney Dkt. #: 01527/100L635-US1

PAGES (Including Cover Sheet): 5

CONTENTS: Recordation Form Cover Sheet (1 page)
Assignment (2 pages)
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FAX TRANSMISSION

DATE: November 15, 2005

PTO IDENTIFIER: Application Number 10/789,442
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Inventor: Arthur Ashman and V. Prasad Shastri

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FROM: DARBY & DARBY P.C.

Lydia Gayle Olson

PHONE: (212) 527-7700

Attorney Dkt. #: 01527/100L635-US1

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DARBY & DARBY P.C.

P.O. Box 5257, New York, New York 10150-5257

Telephone: (212) 527-7700 Facsimile: (212) 527-7701

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

V. Prasad Shastri

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): November 11, 2005

- ☒ Assignment ☐ Merger ☐ Change of Name
☐ Security Agreement ☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: A Enterprises, Inc.

Internal Address:

Street Address:

191 Post Rd. West

City: Westport

State: Connecticut

Country: United States of America Zip: 06880

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

10/789,442

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Melvin C. Garner
DARBY & DARBY P.C.

Internal Address: Atty. Dkt.: 01527/100L635-US1

Street Address: P.O. Box 5257

City: New York

State: NY Zip: 10150-5257

Phone Number: (212) 527-7717

Fax Number: (212) 527-7701

Email Address: mgarner@darbylaw.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers
Expiration Date

b. Deposit Account Number 04-0100

Authorized User Name Lydia G. Olson, Ph.D.

9. Signature:



Signature

Lydia Gayle Olson - 48,487

Name of Person Signing

November 15, 2005

Date

Total number of pages including cover sheet, attachments, and documents:

3

ASSIGNMENT

I, V. Prasad Shastri, a citizen of India, residing at 23⁶ Windsor Terrace Drive,
Nashville, Tennessee 37221;

and each of us, if more than one person is identified above (hereinafter "ASSIGNOR") in consideration of the sum of Ten Dollars (\$10.00), or the equivalent thereof, and other good and valuable consideration, the sufficiency of which and receipt of which are hereby acknowledged, paid to ASSIGNOR by

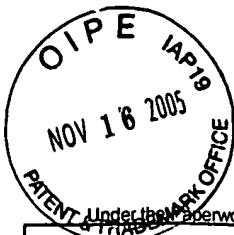
A Enterprises, Inc.

located at 191 Post Rd. West, Westport, CT 06880 (hereinafter "ASSIGNEE"), do hereby sell and assign to said ASSIGNEE, its successors and assigns, the below indicated right, title, and interest, **throughout the world** in and to my Invention entitled:

CROSSLINKABLE POLYMERIC MATERIALS AND THEIR APPLICATIONS

invented by me and described in Patent Application No. 10/789442, filed on February 26, 2004, in the United States; and all patents, divisions, reissues, continuations, foreign counterparts, and any extensions thereof and rights of priority therein, said interest being my entire ownership interest in the same, to be held and enjoyed by said ASSIGNEE, its successors, assigns, or other legal representatives, to the full end of the term thereof, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not be made;

And for the consideration aforesaid, I hereby covenant and agree to and with said ASSIGNEE, its successors and assigns, that whenever ASSIGNEE, its counsel or representative, or the counsel or representative of its successors or assigns, shall advise that an amendment to, or a division of, or any other proceeding or action in connection with an application concerning said Invention, including interference proceedings, is lawful and desirable, or that a reissue or continuation or extension of such application or patent issuing therefrom is lawful and desirable, I will sign all papers and drawings, take all rightful oaths and affidavits, and do all acts necessary or required to be done for the procurement of all lawful rights associated with the Invention, or for the



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application No. (if known): 10/789,442

Attorney Docket No.: 01527/100L635-US1

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office.

on November 15, 2005
Date

Signature

Lydia Gayle Olson

Typed or printed name of person signing Certificate

48,487

Registration Number, if applicable

(212) 527-7700

Telephone Number

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Assignment Fax Cover Sheet (1 page)
Recordation Form Cover Sheet (1 page)
Assignment (2 pages)

Atty Docket No.: 01527/100L635-US1

Inventor: Arthur Ashman

Appln: 10/789,442 **Filed:** Feb. 26, 2004

Title: CROSSLINKABLE POLYMERIC MATERIALS AND
THEIR APPLICATIONS

Documents:

Petition to Correct Inventorship Pursuant to 37 CFR 1.48(a)
(2 pages) & Exhibits A - D

Check # _____, \$130.00

Express Mail Certificate Under 37 CFR 1.10 (1 page)

Via: Express Mail: Airbill No.

Sender Initials: LGO/lwj **Date:** Nov. 15, 2005